

Form PTO-1449 U.S. Department of Commerce
(REV. 2-82) Patent and Trademark Office

Atty. Docket No.
36217/US/2-475387-191

Serial No.
10/551,735

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**
(Use several sheets if necessary)

Applicant(s)
Guillermo J. Tearney et al

Filing Date
September 29, 2005

Confirmation No.
6550

U.S. PATENT DOCUMENTS

*Exam. Init.		Document No.							Date	Name	Class	Subclass	Filing Date if Appropriate
		5	5	6	5	9	8	6	October 15, 1996	Knuttel*			
	2005	0	0	4	6	8	3	7	March 3, 2005	Izumi et al**			
		6	5	3	8	8	1	7	March 25, 2003	Farmer et al***			
		6	5	0	1	5	5	1	December 31, 2002	Tearney et al***			
	2006	0	1	0	3	8	5	0	May 18, 2006	Alphonse et al***			
		6	0	4	5	5	1	1	April 4, 2000	Lutz et al*****1			
	2004	0	1	5	2	9	8	9	August 5, 2004	Puttappa et al*****			
		5	2	1	2	6	6	7	May 18, 1993	Tomlinson et al. *****			
	2004	0	1	2	6	0	4	8	July 1, 2004	Dave et al*****			
		6	7	3	8	1	4	4	May 18, 2004	Dogariu et al*****			
	2006	0	2	4	4	9	7	3	November 2, 2006	Yun et al*****			
		5	7	4	8	3	1	8	May 5, 1998	Maris et al*****			
		5	0	8	5	4	9	6	February 4, 1992	Yoshida et al *****			
		6	4	4	5	4	8	5	September 3, 2002	Frigo et al*****			
		5	6	3	5	8	3	0	June 3, 1997	Itoh *****			
		5	1	2	1	9	8	3	June 16, 1992	Lee*****			
		4	9	0	9	6	3	1	March 20, 1990	Tan et al*****			
		4	8	9	0	9	0	1	January 2, 1990	Cross Jr. *****			
		5	3	1	7	3	8	9	May 31, 1994	Hochberg et al*****			
		6	8	3	9	4	9	6	January 4, 2005	Mills et al*****			
		4	1	4	0	3	6	4	February 20, 1979	Yamashita et al CE			
		5	9	1	2	7	6	4	June 15, 1999	Togino CE			

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		3	0	9	0	7	5	3	May 21, 1963	Matuszak et al CE			
	200	5	0	3	5	2	9	5	February 17, 2005	Bouma et al CECE			
		3	8	7	2	4	0	7	March 18, 1975	Hughes CECE			
		4	7	5	1	7	0	6	June 14, 1988	Rohde et al CECE			
		4	2	2	4	9	2	9	September 30, 1980	Furihata CECECE			
		4	6	5	0	3	2	7	March 17, 1987	Ogi CECECE			
		4	7	4	4	6	5	6	May 17, 1988	Moran et al CECECE			
		5	6	4	9	9	2	4	July 22, 1997	Everett et al CECECE			
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		6	9	4	9	0	7	2	September 27, 2005	Furnish et al CECECE			
		7	1	9	0	4	6	4	March 13, 2007	Alphonse CECECE			
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	2002	0	1	4	0	9	4	2	October 3, 2002	Fee et al CECECECE			

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		5	2	1	4	5	3	8	May 25, 1993	Lobb	EEEEEE			
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		7	3	5	9	0	6	2	April 15, 2008	Chen et al	EEEEEE			
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		7	0	6	1	6	2	2	June 13, 2006	Rollins et al.	EEEEEE			
		5	3	1	8	0	2	4	June 7, 1994	Kittrell et al	EEEEEE			
	2007	0	0	1	9	2	0	8	January 25, 2007	Toida et al	EEEEEE			
	2007	0	2	9	1	2	7	7	December 20, 2007	Everett et al	EEEEEE			
		7	3	5	5	7	1	6	April 8, 2008	De Boer et al	EEEEEE			
		7	3	9	1	5	2	0	June 24, 2008	Zhou et al	EEEEEE			
	200	6	1	4	6	3	3	9	July 6, 2006	Fujita et al	Ω			
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		6	0	2	0	9	6	3	February 1, 2000	Dimarzio et al	ΩΩΩΩ			
		6	3	4	1	0	3	6	January 22, 2002	Tearney et al.	ΩΩΩΩΩ			

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		6	3	9	6	9	4	1	May 28, 2002	Bacus, James W. et al. ΩΩΩΩΩΩ			
200		5	0	1	8	2	0	1	January 27, 2005	De Boer, Johannes F. Et al ΩΩΩΩΩΩ			
		6	5	6	7	5	8	5	May 20, 2003	Martin et al ΩΩΩΩΩΩ			
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		5	2	4	1	3	6	4	August 31, 1993	Kimura et al. ΩΩΩΩΩΩΩΩ Ω			
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		4	8	2	7	9	0	7	May 9, 1989	Tashiro et al. ΩΩΩΩΩΩΩΩ ΩΩ			
		4	9	9	8	9	7	2	March 12, 1991	Chin et al. ΩΩΩΩΩΩΩΩ ΩΩ			
		5	7	3	0	7	3	1	March 24, 1998	Mollenauer et al. ΩΩΩΩΩΩΩΩ ΩΩ			

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		Document No.							Date	Country	Class	SubClass	Translator Yes No	
		9	2	1	6	8	6	5	October 1, 1993	WIPO****				
		0	7	2	8	4	4	0	August 28, 1996	Europe*****				
200		7	0	2	8	5	3	1	March 15, 2007	WIPO*****				

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	199	8	0	4	8	8	4	6	November 5, 1998	WIPO*****				
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		9	2	1	6	8	6	5	October 1, 1992	WIPO €				
		1	3	2	4	0	5	1	July 2, 2003	Europe €€€€€€				
	200	7	0	8	3	1	3	8	July 26, 2007	WIPO €€€€€€€				
		0	6	1	7	2	8	6	February 16, 1994	Europe €€€€€€€				
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		7	9	0	0	8	4	1	October 18, 1979	WIPO ΩΩ				
		9	8	0	0	0	5	7	January 8, 1998	WIPO ΩΩΩ				
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	200	0	1	2	7	6	7	9	April 19, 2001	WIPO ΩΩΩΩΩΩ				
	200	3	0	4	6	6	3	6	June 5, 2003	WIPO ΩΩΩΩΩΩ				
	200	6	0	0	4	7	4	3	January 12, 2006	WIPOΩΩΩΩΩ ΩΩΩΩ				
		9	2	0	1	9	6	6	February 6, 1992	WIPO¥				
		6	0	7	3	4	0	5	April 25, 1985	Japan¥				
		5	5	0	9	4	1	7	November 22, 1993	Japan¥				
		2	7	3	8	3	4	3	August 30, 1995	France¥				

*Reference cited in Office Action dated October 1, 2008 for U.S. Patent Application No. 11/955,986

**References cited in Invitation to Pay Additional Fees dated August 7, 2008 for International Application No. PCT/US2008/062354

***References cited in Invitation to Pay Additional Fees dated July 29, 2008 for International Application No. PCT/US2007/081982

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*****References cited in International Search Report and Written Opinion dated July 4, 2008 for International Application No. PCT/US2008/051432
*****References cited in Office Action dated June 30, 2008 for U.S. Patent Application No. 11/670,058
*****References cited in Office Action dated July 7, 2008 for U.S. Patent Application No. 10/551,735
*****References cited in Australian Examiners Report dated May 27, 2008 for Australian Patent Application no 2003210669
*****References cited in Notice of Allowance mailed June 4, 2008 for U.S. patent application no. 11/174,425
œ References cited in European Communication dated May 15, 2008 for European patent application no. 05819917.5
œœ References cited in International Search Report and Written Opinion dated June 10, 2008 for International Application No. PCT/US2008/051335
œœœ References cited in Office Action dated August 21, 2008 for U.S. patent application no. 11/505,700
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œœœœœœ References cited in International Search Report and Written Opinion dated May 26, 2008 for International Application No. PCT/US2008/051404
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œ Reference cited in International Search Report and Written Opinion dated October 9, 2008 for International Application No. PCT/US2008/081982
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¥ Reference cited in Japanese Office Action dated December 2, 2008

OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)

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		Liptak David C. et al., (2007) "On the Development of a Confocal Rayleigh-Brillouin Microscope" <i>American Institute of Physics</i> Vol 78, 016106, **
		Copy of Office Action mailed October 1, 2008 for U.S. Patent Application No. 11/955,986
		Copy of Invitation of Pay Additional Fees mailed August 7, 2008 for International Application No. PCT/US2008/062354
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		Copy of International Search Report and Written Opinion mailed March 7, 2006 for PCT/US2005/035711
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		Aizu, Y et al. (1991) "Bio-Speckle Phenomena and Their Application to the Evaluation of Blood Flow" <i>Optics and Laser Technology</i> , Vol 23, No. 4, August 1, 1991.
		Richards G.J. et al. (1997) "Laser Speckle Contrast Analysis (LASCA): A Technique for Measuring Capillary Blood Flow Using the First Order Statistics of Laser Speckle Patterns" April 2, 1997*****
		Gonick, Maria M., et al (2002) "Visualization of Blood Microcirculation Parameters in Human Tissues by Time Integrated Dynamic Speckles Analysis" Vol. 972, No. 1, October 1, 2002*****
		Copy of International Search Report and Written Opinion mailed July 4, 2008 for PCT/US2008/051432
		Jonathan, Enock (2005) "Dual Reference Arm Low-Coherence Interferometer-Based Reflectometer For Optical Coherence Tomography (OCT) Application" <i>Optics Communications</i> Vol 252. *****
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			Copy of Notice of Allowance mailed June 4, 2008 for U.S. Patent application no. 11/174,425
			Copy of European communication dated May 15, 2008 for European patent application no. 05819917.5
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			Oh. W.Y. et al (2006) "Ultrahigh-Speed Optical Frequency Domain Imaging and Application to laser Ablation Monitoring" <i>Applied Physics Letters</i> , Vol 88. CECE
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			Sticker, Markus (2002) "En Face Imaging of Single Cell layers by Differential Phase-Contrast Optical Coherence Microscopy" <i>Optics Letters</i> , Col. 27, No. 13, July 1, 2002
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			Copy of International Search Report and Written Opinion dated August 11, 2008 for International Application no. PCT/US2008/058703
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			Copy of International Search Report and Written Opinion dated May 26, 2008 for International Application no PCT/US2008/051404

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		Copy of Office Action dated September 11, 2008 for U.S. Patent application no. 11/624,334
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		Gelikono, V. M. et al. (October 1, "Two-Wavelength Optical Coherence Tomography" Radio physics and Quantum Electronics, Kluwer Academic Publishers-Consultants. Vol. 47, No. 10-1. Ω
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		Database Compendex Engineering Information, Inc., New York, NY, US; March 5, 2007, Yelin, Dvir et al: "Spectral-Domain Spectrally-Encoded Endoscopy" ΩΩ
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		Shim M.G. et al., "Study of Fiber-Optic Probes For In vivo Medical Raman Spectroscopy" Applied Spectroscopy. Vol. 53, No. 6, June 1999 ΩΩΩ
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		Jun Zhang et al. "Full Range Polarization-Sensitive Fourier Domain Optical Coherence Tomography" Optics Express, Vol. 12, No. 24. November 29, 2004 ΩΩΩΩ

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		Siavash et al., "Self-Referenced Doppler Optical Coherence Tomography" Optics Letters, Vol. 27, No. 23, December 1, 2002 ΩΩΩΩ
		Copy of International Search Report and Written Opinion dated December 20, 2004 for PCT/US04/10152
		Copy of Notification Concerning Transmittal of Copy of International Preliminary Report on Patentability dated October 13, 2005 for PCT/US04/10152
		Copy of International Search Report and Written Opinion dated March 23, 2006 for PCT/US2005/042408
		Copy of International Preliminary Report on Patentability dated June 7, 2007 for PCT/US2005/042408
		Copy of International Search Report and Written Opinion dated February 28, 2007 for International Application No. PCT/US2006/038277 ΩΩΩΩΩΩ
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Applicant(s)
Guillermo J. Tearney et al

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			Copy of International Search Report and Written Opinion dated January 15, 2009 for International Application No. PCT/US2008/074863
			Copy of Office Action dated February 17, 2009 for U.S. Patent application no. 11/211,483
			Copy of Notice of Reasons for Rejection mailed December 2, 2008 for Japanese patent application no. 2000-533782

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